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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: Collins, et al.  
US SERIAL NO.: 10/650,153  
FILED: August 26, 3003

GROUP ART UNIT: N/A  
DOCKET NO.: CML01464M

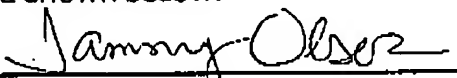
TITLED: METHOD, APPARATUS, AND SYSTEM FOR DETERMINING A  
FRAUDULENT ITEM

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                      |  |                 |      |
|----------------------|--|-----------------|------|
| Applicants:          | Collins, et al   | Group Art Unit: | 3621 |
| Application No.:     | 10/650,153   | Examiner:       | N/A  |
| Date Filed:          | August 26, 2003  |                 |      |
| Attorney Docket No.: | CML01464M  |                 |      |
| Title:               | METHOD, APPARATUS, AND SYSTEM FOR DETERMINING A<br>FRAUDULENT ITEM |                 |      |

## INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313

STR:

In accordance with 37 C.F.R. §1.56 and in compliance with 37 C.F.R. §§1.97 and 1.98, the references listed on attached Form PTO/SB/08 and/or subsequently identified herein, are for consideration by the United States Patent and Trademark Office. Pursuant to the Office waiving the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC §371 after June 30, 2003, copies of the reference are not submitted herewith.

I. ☐ THIS IDS IS BEING FILED UNDER 37 C.F.R. §1.97(b)-(d): (check only one box)

- a. ☐ within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing date of a first Office Action on the merits; and/or before the mailing date of a first Office Action after the filing of a request for continued examination under § 1.114. No fee or statement is required.
- b. ☐ before the mailing date of any of a Final Office Action under 37 C.F.R. §1.113, a Notice of Allowance under 37 C.F.R. §1.311, or an action that otherwise closes prosecution in the application. Petition fee set forth in §1.17(p) is required unless a statement under 37 C.F.R. §1.97(e) is provided.
- c. ☐ on or before payment of the issue fee. Petition fee set forth in §1.17(p) and a statement under 37 C.F.R. §1.97(e) are required.

II. ☒ STATEMENT UNDER 37 C.F.R. §1.97(e) (check only one box, if applicable)

The undersigned hereby states that

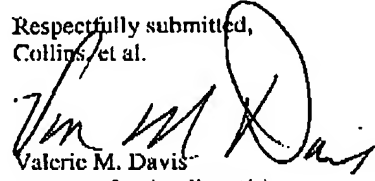
- a. ☒ each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of IDS; or
- b. ☐ no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application, and to knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the IDS was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement, or
- c. ☐ some of the items of information contained in the IDS were cited in a communication from a foreign Patent Office. As to this information, the undersigned states that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby states that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the IDS was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement.

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The above references are being cited only in the interests of candor and without any admission that they constitute statutory prior art or contain matter which anticipates the invention or which would render the same obvious, either singly or in a combination, to a person of ordinary skill in the art.

Respectfully submitted,  
Collins, et al.

  
Valerie M. Davis  
Attorney for Applicant(s)  
Reg. No. 50,203  
Tel. 847.576.6733

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Enclosures: ☒ Information Disclosure  
Statement by Applicant  
☐ References  
☐ Foreign Search Report  
☐ Other:

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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br><i>(use as many sheets as necessary)</i> |  |  |  | <b>Complete if Known</b> |                     |
|  |  |  |  | Application Number       | 10/850,153          |
|  |  |  |  | Filing Date              | August 26, 2003     |
|  |  |  |  | First Named Inventor     | Collins, Timothy J. |
|  |  |  |  | Group Art Unit           | 3821                |
|  |  |  |  | Examiner Name            | N/A                 |
|  |  |  |  | Attorney Docket Number   | CML01464M           |

[illegible]

| FOREIGN PATENT DOCUMENTS       |                       |                           |   |                                |  |   |                |
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| Examiner Initials <sup>1</sup> | Cite No. <sup>1</sup> | Foreign Patent Document   |   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages or<br>Relevant Figures Appear | T <sup>2</sup> |
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